Epigenetics and its role in male infertility

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Abstract Male infertility is a common and complex problem affecting 1 in 20 men. Despite voluminous research in this field, in many cases, the underlying causes are unknown. Epigenetic factors play an important role in male infertility and these have been studied extensively. Epigenetic modifications control a number of processes within the body, but this review will concentrate on male fertility and the consequences of aberrant epigenetic regulation/modification. Many recent studies have identified altered epigenetic profiles in sperm from men with oligozoospermia and oligoasthenoteratozoospermia. During gametogenesis and germ cell maturation, germ cells undergo extensive epigenetic reprogramming that involves the establishment of sex-specific patterns in the sperm and oocytes. Increasing evidence suggests that genetic and environmental factors can have negative effects on epigenetic processes controlling implantation, placentation and fetal growth. This review provides an overview of the epigenetic processes (histone-to-protamine exchange and epigenetic reprogramming post-fertilization), aberrant epigenetic reprogramming and its association with fertility, possible risks for ART techniques, testicular cancer and the effect of environmental factors on the epigenetic processes.

Keywords Epigenetics · Male infertility · ART · Chromatin remodeling · Imprinting · Protamines

Introduction

Male infertility is a complex problem where not only the genes, but also the epigenetic factors play a crucial role. There is an enormous interest in one potential cause of male infertility—the aberrant epigenetic reprogramming in male germ cells that can lead to sperm abnormalities. A number of studies have explored the causes of male infertility and now there is sufficient information supporting the idea that epigenetic changes contribute to male infertility.

What is epigenetics?

The term epigenetics refers to changes in the phenotype caused by mechanisms other than changes in DNA sequences, hence the name epi- (above or over)-genetics. Waddington [1] reintroduced the term to explain that gene action and expression that give rise to the phenotype [2]. Epigenetic changes encompass an array of molecular modifications of DNA or...
histones that are intimately associated with DNA. DNA wraps around histones to form nucleosomes. Nucleosomes are packaged into a higher order of structures called chromatin; modifications in chromatin control gene-expression in a spatio-temporal manner [3–6]. The genome-wide approach to studying epigenetics is defined as epigenomics.

**Epigenetic mechanism of gene regulation**

Two major modifications that occur in chromatin are DNA methylation and post-translational histone modifications [3, 4]. DNA methylation is a biochemical process which involves addition of a methyl group to the 5’ position of the cytosine pyrimidine ring typically occurring in a CpG dinucleotide [4]. DNA methylation occurs as a result of DNA methyltransferase (DNMT) activity. There are 3 main DNMTs: i) DNMT1 [7]—which plays a key role in maintenance of methylation; ii) DNMT 3a and iii) 3b, which are de novo methyltransferases that methylate the genomic DNA during early embryonic development [8]. The changes are acquired in a gradual rather than by an abrupt process [6, 9]. CpG islands are genomic regions that are approximately 500 base pairs long, which have a high frequency of CpG sites (CG to GC ratio >55%) [10]. These stretches of DNA are located within the promoter region of about 40% of mammalian genes which, when methylated, cause stable heritable transcriptional silencing. Hypomethylation and hypermethylation can occur simultaneously at different regions in the genome [11].

Histones are basic proteins in eukaryotic nuclei, and they package DNA into nucleosomes. H2A, H2B, H3 and H4 histones are integral part of nucleosomes. Histone modifications, such as acetylation, methylation, ubiquitylation and phosphorylation, have emerged as the main players in epigenetic regulatory mechanisms. An intricate interplay exists between modifications of the histone tails of H3 and H4, some of which act antagonistically to regulate the conversion from an active chromatin state to an inactive one termed the histone code [12]. Generally, the acetylation of histones marks active, transcriptionally competent regions, whereas hypoacetylated histones are found in transcriptionally inactive euchromatic or heterochromatic regions. In contrast, histone methylation can be a marker for both active and inactive regions of chromatin. Methylation of lysine 9 on the N terminus of histone H3 (H3-K9) is a feature of silent DNA and is globally distributed throughout heterochromatic regions. On the other hand, methylation of lysine 4 of histone H3 (H3-K4) denotes activity and is found predominantly at the promoters of active genes. H3-K9 methylation is a prerequisite for DNA methylation in fungi and plants [13, 14]. DNA methylation can also trigger H3-K9 methylation [15], as has been documented in mammals.

**Epigenetic gene regulation during germ-cell development**

Epigenetic mechanisms regulate DNA accessibility throughout an organism’s lifetime as specific sets of genes are active at any stage of development. Each cell type has its own epigenetic signature that reflects the developmental history and environmental influences, and is ultimately reflected in the phenotype of the cell and organism. At the time of fertilization paternal genome delivered by the mature sperm has a haploid genome and is packaged densely with protamines, whereas maternal genome arrested at metaphase II is packaged with histones. Upon fertilization, protamines are rapidly replaced by histones and oocyte completes the second metaphase, releasing the polar body. The H3 and H4 histones that associate with the paternal chromatin are more acetylated than those present in the maternal chromatin [16, 17].

The epigenetic profile of germ cells changes dynamically during development (Fig. 1) [18–21]. In post-implantation mammalian embryos, pluripotent cells in the epiblast give rise to primordial germ cells (PGCs). In females PGCs are arrested in the prophase of meiosis-I whereas in males they enter mitotic arrest. Germ cells undergo several changes in their epigenetic profile during different stages of meiosis. For example, premeiotic PGCs and spermatogonia, exhibit unique patterns of histone modifications such as low H3K9me2 levels [22–24] but in male germ cells, these patterns change dynamically upon initiation of meiosis [25]. Changes in the composition and modification of histones could contribute to chromatin modifications that are required for proper meiosis, and for further maturation of gametes [21]. Both male and female germ cells undergo final developmental changes after meiosis. In haploid round spermatids, global nuclear remodeling occurs, although some histone marks such as H3K9me2 on the inactive X chromosome are retained [26, 27]. A testis-specific linker histone variant H1T2 appears at this stage and plays a crucial role in histone condensation during spermiogenesis [28]. Later, a linker histone variant H1s1 (histone-1-like protein in spermatids 1) is expressed in elongated spermatids. In the histone-protamine exchange process, nuclear histones become hyperacetylated during spermiogenesis and shortly thereafter disassemble, replaced by transition proteins (TP1 and TP2). At the final stage of spermiogenesis, transition proteins are removed and replaced by protamines [29]. The incorporation of protamines into sperm chromatin induces DNA compaction, which is important for the formation of spermatozoa and for providing a safe environment for the genome. The presence of somatic-like chromatin in the sperm nucleus could transmit different epigenetic information to the offspring. Oakes et al. [30] suggested that the genome-wide DNA methylation pattern changes little during spermiogenesis, after the pachytene
spermatocyte stage. Histone methylation in spermatogenesis is carried out by the H3-K4 and H3-K9 methyltransferase.

It has been reported that hyperacetylation of histone H4 is associated with a histone-to-protamine exchange in haploid spermatids [31–33]. Recently, Govin et al. [34] reported that the double bromodomain-containing protein, BRDT (bromodomain testis specific) binds hyperacetylated histone H4 before accumulating in condensed chromatin and helps in organizing the spermatozoon’s genome by mediating a general histone acetylation-induced chromatin compaction and maintaining a differential histone acetylation of specific regions.

A factor named BORIS (brother of regulator of imprinted sites), specifically expressed in male gonads, could be directly involved in the resetting of methylation marks during male germ cell differentiation [35]. The domains of BORIS...
have the same 11 Zinc Finger as CTCF (CCCTC binding factor: a somatic regulator for expression of imprinted genes), which binds to specific target DNA sequences and plays an important role in the maintenance of differential methylation patterns in somatic cells [36]. CTCF is present in both somatic and germ cells whereas BORIS is expressed specifically in the male germ line. Studies [35, 37] have shown that BORIS is linked with both methylases mediating de novo methylation and demethylases mediating erasure of imprinting marks.

**Paternal impact on early embryogenesis**

A growing body of evidence suggests that both genetic and epigenetic abnormalities may contribute to idiopathic male infertility, which may affect the outcome of in vitro fertilization (IVF) [38]. Advanced maternal age is one of the obvious contributors to poor fecundity [39], but little is known about the effect of paternal age. We know that advanced paternal age is associated with decreased semen volume, sperm morphology, and sperm motility, but no significant reduction in sperm concentration [40]. A number of studies have documented age-dependent changes in the testis [41, 42].

High DNA fragmentation is associated with diminished sperm count, motility, and morphology [42, 43]. Increased DNA fragmentation also decreases fertilization and implantation rates. The influence of sperm DNA methylation on pregnancy was done on mice after using methylation depletion by use of 5-aza-deoxycytidine. This molecule is base analog that when incorporated into DNA decreases the level of DNA methylation varying the gene expression [43]. One of the main problems of solving or throwing some light about the actual role of DNA modifications on fertilization is the absence of reliable techniques which allow easy and reproducible analysis of the level of DNA modification in each gamete. A simple Sperm Chromatin Dispersion (SCD) based technology which offered stable results can be used to analyze the amount of methylated DNA residues and the level of DNA damage in each sperm (Fig. 2). This technique consisted of using MABs against 5-Metyl-Citosine on a partially denatured DNA molecule once the SCD test was performed. The methodology is mainly based on previous results where the SCD and FISH were used to characterize the level of DNA damage and the presence of aneuploidies (CITA). Figure 2 shows a panel of some results where different levels of DNA methylation could be simultaneously correlated with the level of sperm DNA in each sperm. In some cases DNA fragmentation fully correlates with an abnormal DNA molecule while in other cases this does not occur. This points to the fact that probably methylation and its consequence are characteristically displayed by each sperm although its variations shall be associated to certain pathological cases. Interestingly, adaptation of this technique may allow semiquantitative asessment of the level of methylation cell by cell.

**Fig. 2** Sperm DNA fragmentation using the SCD test (Halosperm) (panel a), combined with the simultaneous visualization of sperm methylation (panel b) using anti-5metyl-C. Panel 2c was produced after merging a and b. Semiquantitative representation of the differential level of sperm DNA methylation using a surface plot (panel d)
Histones are considered the best candidates for the transmission of epigenetic information because of their influence on the modification of chromatin structure, and access of transcriptional machinery to genes [44, 45]. The methyltransferases facilitate gene silencing by mono-, di- or trimethylation of lysine or arginine [3, 46]. It has yet to be determined whether modified histones play a crucial role in gene expression during early embryogenesis or if abnormal histone modifications in the sperm are associated with diminished embryo development. Alterations in methylation patterns can effect biallelic expression or repression of imprinted genes resulting in various pathologies [47]. Impaired spermatogenesis has been associated with aberrant H4 acetylation [48]. H4 hyperacetylation was also observed in infertile men exhibiting Sertoli cell only (SCO) syndrome. Sperm of patients with asthenozoospermia and teratozoospermia have decreased levels of DNA methylation [49]. Recently, researchers have begun to look at the contribution to early embryogenesis by spermatozoa beyond those of genetic factors. There is evidence of epigenetic contribution to complex diseases.

**Epigenetic changes important for male gametes**

Gonadal sex determination and testis development occur between embryonic days 12 and 15 (E12 to E15) in the rat (after midgestation in the human) and are initiated by the differentiation of precursor Sertoli cells in response to the testis-determining factor SRY. Aggregation of the precursor Sertoli cells, PGCs, and migrating mesonephros cells (precursor peritubular myoid cells) promotes testis morphogenesis and cord formation. The fetal testis contains steroid receptors and is a target for endocrine hormones. The androgen receptor (AR) and estrogen receptor-b (ERb) are present in Sertoli cells as well as in precursor peritubular myoid cells and germ cells at the time of cord formation (E14). Although the testis does not produce steroids at this stage of development, estrogens and androgens have the ability to influence early testis cellular functions. Treatment with endocrine disruptors vinclozolin and methoxychlor, at a critical time during gonadal sex determination (E8 to E15 in the rat), promotes an adult testis phenotype with decreased spermatogenic capacity and male infertility. This study shows that external factors can induce an epigenetic transgenerational phenotype through an apparent reprogramming of the male germ line [50]. It is not clear whether steroidal factors acting inappropriately at the time of gonadal sex determination reprogram the germ line epigenetically (altered DNA methylation) to cause the transgenerational transmission of an altered phenotype or genetic trait.

Many epigenetic modifiers, including DNA methyltransferases, histone-modification enzymes and their regulatory proteins play essential roles in germ-cell development. Some of these are specifically expressed in germ cells whereas others are more widely expressed. The crucial roles of germ-cell-specific genes such as Dnmt3L and Prdm9 were revealed by conventional knockout studies [51–53]. A recent report showed that there are numerous intra- and inter-individual differences in DNA methylation in human sperm samples [54] which could contribute to phenotypic differences in the next generation. Furthermore, it has been reported that sperm samples from oligospermic patients often contain DNA-methylation defects at imprinted loci [55, 56].

**Epigenetics and protamine abnormalities**

Marques et al. [54] suggested an association between aberrant sperm epigenetic modifications and altered spermatogenesis. During differentiation of the male gamete, the genome undergoes major changes that not only affect the DNA sequence and genetic information by homologous recombination but also alter its nuclear structure and epigenetic information. One of the challenging issues is to understand how the specific nucleoprotamine/nucleohistone structure of the sperm nucleus conveys epigenetic information and how it controls early embryonic events.

Cho et al. [57] have shown that both protamines 1 and 2 are essential for sperm function, and the haploinsufficiency of either protamine 1 (P1) or protamine 2 (P2) results in a reduced amount of the respective protein. The phosphorylation of protamines has also been shown to be very important. Indeed, mutation of the calmodulin-dependent protein kinase Camk4, which phosphorylates protamine 2, results in defective spermiogenesis and male sterility [58, 59]. The P1/P2 ratio in fertile men lies close to 1.0 [60–62] and ranges from 0.8 to 1.2 [60, 61]. Perturbation of this ratio, in either direction, is characterized by poor semen quality, increased DNA damage, and decreased fertility [63–68].

An increasing amount of data now supports the hypothesis that in mature mammalian spermatozoa, DNA is actually not homogeneously packed with protamines [29]. Some histones are retained in humans; in fact, the persistent histones could be an important epigenetic code in the sperm and may not be the result of inefficient protamine replacement [69]. Protamine replacement occurs in the elongating spermatid stage of spermatogenesis (Fig. 3), long after the completion of meiosis [70]. The elongating spermatid also undergoes other maturation events that affect its motility and fertilization ability during the period of protamine replacement; but the events have not been linked to sperm count. This has led to a hypothesis that the link between abnormal protamine replacement and generally diminished semen quality may be due to a defect in the unique system
of temporal uncoupling of transcription and translation during spermatogenesis [71].

The study of two relatively novel areas—sperm epigenetics and sperm transcriptome could be of particular interest in men with protamine expression abnormalities. Abnormal protamine incorporation into chromatin may affect transcription of other genes. For example, in mice, deregulation of protamines causes precocious chromatin condensation, transcription arrest, and spermatogenic failure [72]. The human sperm nucleus, which retains 10–15% of its original histone content, distributes it in a heterogeneous manner within the genome [73]. Various studies concluded that histones that are retained bind specific regions, to convey epigenetic information to the early embryo. If so, there are obvious and profound implications for sperm with abnormal protamine replacement, and for the use of such sperm, or any immature sperm, for intracytoplasmic sperm injection (ICSI). Future research will classify and characterize the role of retained histones throughout the sperm genome in mature sperm from fertile men as well as in patients with known chromatin abnormalities.

Epigenetics and ART

In humans, the use of assisted reproductive technology (ART) has been shown to induce epigenetic alterations and to affect fetal growth and development. There is an open debate about the influence ART on certain pathologies, especially those that are dependent on genomic imprinting such as the Beckwith-Wiedemann or Angelman syndrome [74]. Possible epigenetic risks linked to ART techniques may result from either the use of sperm with incomplete reprogramming or from in vitro embryo procedures performed at a time of epigenetic reprogramming [75–78]. Loss of gene imprinting may occur during preimplantation under certain conditions of gamete handling [79]. Although epigenetic states are relatively stable, it has been estimated that the loss of epigenetic control (epimutation) may be one or two orders of magnitude greater than that of somatic DNA mutation [80]. Recent reports from various studies have shown that epimutations not only lead to inappropriate expression of the affected gene but may also expose hidden genetic variation [81]. It is possible that some sub-fertile couples have a genetic predisposition to epigenetic instability, which makes their offspring more susceptible to epigenetic changes, independently of whether or not they are conceived by ART. Epimutations affecting imprints can arise during imprint erasure, imprint establishment or imprint maintenance. It has been suggested by animal model studies that loss-of-function mutations of DNA methyltransferases affect all imprinted domains as well as other chromosomal regions. For example, mutations of Dnmt3a (de-novo methylase) and maintenance methylase (Dnmt) could lead to loss of imprinting and embryonic lethality [82]. Another study has shown that targeted disruption of Dnmt3L in mice caused azoospermia in homozygous mutant males, and heterozygous progeny of homozygous females died before midgestation. Another study suggested that imprinting defects and subfertility can have a common and possibly genetic cause and that super-ovulation instead of ICSI may further increase the risk factor of conceiving a child with an imprinting defect. Based on this study and the study by Chang et al. [83] it is tempting to speculate that super-ovulation leads to the maturation of epigenetically imperfect oocytes that would not have developed without treatment and may disturb the process of DNA methylation in the oocyte. It is therefore suggested that imprinting errors can lead to spontaneous abortions. The influence of sperm DNA methylation on pregnancy was documented...
Epigenetics and testicular cancer

Testicular germ cells tumor (TGCT) represents approximately 98% of all testicular neoplasms and is the most common malignancy among young males [86]. Epigenetic changes that deregulate gene expression are frequently observed during the development of cancer. The epigenetic equilibrium of the normal cell is disrupted during tumorigenesis. In human neoplasms, at least two types of DNA methylation defects are found: hypomethylation, characterized by a global loss of 5-methylcytosine, and hypermethylation of regulatory regions of promoters, associated with the silencing of tumor suppressor genes. Hypomethylation was the first epigenetic abnormality to be identified in cancer cells [87–89]. Studies in mouse models have indicated a causal relation between reduced levels of 5-methylcytosine and tumor formation [90]. In contrast to the mere handful of oncogenes activated by DNA hypomethylation, a long list of tumor suppressor genes is transcriptionally silenced by DNA hypermethylation in cancer cells. TGCTs are believed to arise from primordial germ cells (PGCs)—where DNA methylation and parental imprints are erased and totipotency is restored [19, 91, 92]. A genome-wide DNA methylation study using restriction landmark genome scanning (RLGS) showed that the genome of seminomas was extensively hypomethylated and virtually completely devoid of CpG island hypermethylation [93]. In contrast, the nonseminoma group was less extensively hypomethylated and revealed variable CpG island hypermethylation levels, which were comparable with tumors of other tissues [94]. From embryonic studies in mice, a wave of demethylation immediately after fertilization has been shown to erase the majority of methylation marks in the genome, with the exception of some imprinted genes and repeat sequences [92], leading to totipotency. High expression of the de novo methyltransferases DNMT3A and DNMT3B, as well as their homologue DNMT3L, is significantly associated with the embryonal carcinoma subtype [95]. The presumptive testis-specific chromatin regulator CTCFL (BORIS) and the pluripotency marker POU5F1 (OCT3/4) have recently been proposed to share properties with the cancer/testis associated genes in being hypermethylated in somatic tissue and hypomethylated in normal testis tissue [96, 97].

The effect of epigenetic sperm abnormalities on early embryogenesis

Imprinting errors in the developing fetus have been identified and shown to cause severe pathologies. Some studies have also suggested that the use of ART increases the risk of imprinting diseases. A study by Marques et al. [56] suggested that an increase in abnormal methylation of the H19 gene in oligospermic men is associated with Beckwith-Wiedemann syndrome. A decreased genome-wide methylation pattern in sperm has also been identified with poor embryo quality in rats and decreased IVF pregnancy rates in humans [49]. Benchaib et al. [43] used 5-methyl-cytosine immunostaining as an indicator of genome-wide methylation pattern in sperm. He showed that decreased global methylation in semen samples from normospermic men is related to a poor pregnancy outcome during IVF [85] suggesting that global methylation status independently affects embryogenesis. Mitchell et al. [98] found a correlation between the frequency of P1 transcripts and pregnancy rates in men undergoing testicular sperm extraction (TESE) for ICSI. This could suggest that epigenetic regulation of DNA via nuclear packaging in the sperm is related to the function of the mature sperm. Current knowledge of genetic and epigenetic factors in sperm contributing to poor embryogenesis is limited. Both the complex path of sperm production and the delicate balance of epigenetic and genetic factors during sperm maturation contribute to the formation of a mature sperm with the ability to fertilize an oocyte and contribute to the developing embryo. A defect at any step may manifest as male infertility.

Epigenetic regulation and nutrition

Epigenetic programming is tightly regulated, both temporally and spatially, during fetal development and lactation [19, 20, 99]. Dietary supplementation with a methyl donor during pregnancy increases the proportion of pups carrying a methylated IAP (Intracisternal-A particles) sequence [100, 101]. There are many environmental and metabolic factors that can influence patterns of histone acetylation and DNA methylation, two major epigenetic marks. Metabolic factors influencing these epigenetic modifications include intranuclear levels of acetyl-CoA for HAT activity, NAD⁺ for Sir2 deacetylases, ATP for the deacetylation of chromatin substrates by at least some HDACs and methyl donors of SAM provided by the folate-methionine pathway. Once the specific epigenetic patterns corresponding to ‘labile’ and ‘locked’ situations are identified, these patterns could be useful for diagnosis and prognosis [102]. They may also represent new types of targets for the development of novel diets and drugs to prevent or to abolish aberrant gene
silencing, which may be associated with treatment failure [103, 104].

Most of these studies have looked at modifications of the pattern of DNA methylation, as it is the easiest epigenetic mark to study. Nutrition during early development can influence DNA methylation because one-carbon metabolism is dependent on dietary methyl donors and on co-factors such as methionine, choline, folic acid and vitamin B-12 [104]. The availability of dietary methyl donors and cofactors is therefore very critical during development. The epigenetic change, caused by a decrease in DNMT1 activity, [105] can be prevented by folate supplementation [106]. Although not directly regulated by nutrition, maternal behavior also programs the epigenetic regulation (DNA methylation and histone acetylation) of the gluco-corticoid receptor gene in the hippocampus and determines the stress responses of the offspring [107, 108].

It was recently reported that a methyl-donor-deficient diet in postnatal life can permanently affect the expression of IGF2, resulting in growth retardation [109]. This suggests that the effects of nutrition are not only limited to the fetal stage but nutrition during postnatal development can also permanently alter the epigenetic regulation of imprinted genes. In humans, diet has been shown to affect the DNA methylation status of patients with hyperhomocysteinaemia. This disease is characterized by the accumulation of S-adenosylhomocysteine (an inhibitor of DNA methyltransferases). The impact of diet, nutrients or drugs on early epigenetic programming must be seriously considered to achieve a directed epigenetic regulation in spermatogenesis.

accumulate with age in monozygotic twins. Remarkably, the twins displaying the greatest epigenetic differences were found to be those who had lived together for the smallest amount of time. This finding underlines the relative importance of environmental factors in addition to intrinsic factors.

**Future perspectives**

Whether common diseases have an epigenetic basis is still open to speculation, but if they do, this holds great promise for medicine. Knowledge of genetic and epigenetic modifications of germ cells is necessary for the production of functional gametes and for overcoming infertility. Categorization of infertile men using a more detailed analysis of DNA methylation patterns might reveal a new level of reduced fertilization, implantation or pregnancy rates. Epigenetic studies offer an important window to understanding the role of environmental interactions with the genome in causing disease, and in modulating those interactions to improve human health. Our increasing knowledge over last 10 years is beginning to be translated into new approaches to molecular diagnosis and targeted treatments across the clinical spectrum.

**The role of environmental factors in epigenetic modifications**

Epigenetic modifications provide a putative link between the environment and alterations in gene expression that might lead to disease phenotype. An increasing body of evidence from animal studies support the role of environmental epigenetics in disease susceptibility. Environmental exposures to nutritional, chemical and physical factors have the potential to alter gene expression and therefore, modify adult disease susceptibility in various ways through changes in the epigenome. These genomic targets contain CpG islands and other DNA sequences, although in some cases the status of histone modifications in the same region, determine levels of gene expression.

Monozygotic twins provide an interesting model for studying the role of environmental factors in epigenetic modifications [110]. A large epigenetic study on monozygotic twins [111] recently showed that twins are epigenetically concordant at birth in most cases, and that epigenetic differences (DNA methylation and histone modifications)
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